

## Let's see if we can lend...

Details requested are essential to provide us with a thorough overview of the proposal and **ALL** questions must be answered to prevent a delay in processing.

### 1. Broker details & FCA permissions

All introducers involved in the transaction must be listed

|   |  |
|---|--|
| Shawbrook broker partner name   | Broker fee amount (if applicable) (£ or %) |
| Name of firm  | Contact name                               |
| Telephone   | Email                                      |
| FCA permissions<br>Please confirm you provided the advice    Yes <input type="checkbox"/> No <input type="checkbox"/> | FCA number                                 |

#### Other introducer details

|   |              |
|---|--------------|
| Name of firm  | Contact name |
| Address   |              |
| Telephone   | Email        |
| FCA permissions<br>Please confirm you provided the advice    Yes <input type="checkbox"/> No <input type="checkbox"/> | FCA number   |

**I confirm that the intermediary providing the financial advice on this transaction holds the correct permissions and is authorised to do so**

Yes  No

### 2. Applicant source

Please confirm where the lead was generated from

|  |                          |   |                          |
|--|--------------------------|---|--------------------------|
| Introducer from own panel                    | <input type="checkbox"/> | Direct applicant recommended by existing customer | <input type="checkbox"/> |
| Direct applicant from own marketing campaign | <input type="checkbox"/> | Shawbrook referred introducer                     | <input type="checkbox"/> |
| Introducer from a network/affinity group     | <input type="checkbox"/> | Direct applicant referred by Shawbrook            | <input type="checkbox"/> |
| Shawbrook event/show                         | <input type="checkbox"/> |   |                          |

### 3. Existing Shawbrook customers

Please confirm if the customer has another product with Shawbrook

We are pleased to offer a 0.25% reduction on the arrangement fee for any clients who have been party to a Shawbrook savings account, open for 6 months or more with a £100+ balance, or a formally sanctioned Shawbrook loan

|  |  |   |   |
|--|--|---|---|
| Asset finance <input type="checkbox"/>   | Business credit <input type="checkbox"/> | Commercial mortgages <input type="checkbox"/> | Account number(s)   |
| Secured loan <input type="checkbox"/>  | Savings account <input type="checkbox"/> | Consumer loan <input type="checkbox"/>        | Loans up to £750,000: Discount is to apply to:<br>Margin <input type="checkbox"/> Arrangement fee <input type="checkbox"/><br>NB: R10.1, R10.2 and R10.3 discount can only apply to arrangement fee |
| Has the customer completed a Shawbrook mortgage within the last 6 months?  |  |   | <input type="checkbox"/>  |
| Please select the checkbox if the customer's total lending exposure on all Shawbrook products will exceed £25,000,000? |  |   | <input type="checkbox"/>  |

### 4. Loan details

|   |   |   |   |                               |   |
|---|---|---|---|-------------------------------|---|
| Application type  | Individual/sole trader <input type="checkbox"/>   | Partnership <input type="checkbox"/>            | UK Limited Company <input type="checkbox"/>   | LLP <input type="checkbox"/>  | Trust <input type="checkbox"/>  |
| Loan amount £   | Term (Years)  |   |   |                               |   |
| LTV requested %   | Estimated completion date <input type="text" value="D"/> <input type="text" value="D"/> <input type="text" value="M"/> <input type="text" value="M"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/> |   |   |                               |   |
| Fixed rate?   | Yes <input type="checkbox"/>  | No <input type="checkbox"/>                     | If yes, number of years fixed 3 <input type="checkbox"/> 5 <input type="checkbox"/> 10 <input type="checkbox"/> |                               |   |
| Product   | BTL1 <input type="checkbox"/>   | BTL2 <input type="checkbox"/>                   | BTL3 <input type="checkbox"/>   | HMO1 <input type="checkbox"/> | HMO2.1 <input type="checkbox"/> HMO2.2 <input type="checkbox"/> HMO3 <input type="checkbox"/> RR1 <input type="checkbox"/> RR2 <input type="checkbox"/> |
| Repayment   | Interest only <input type="checkbox"/>  | Part capital repayment <input type="checkbox"/> | Full capital repayment <input type="checkbox"/>   |                               |   |
| Is it the client's intention to carry out works on any of the properties?   |   |   |   |                               | Yes <input type="checkbox"/> No <input type="checkbox"/>  |
| Does this include any change of use, structural alterations or works requiring planning permission?   |   |   |   |                               | Yes <input type="checkbox"/> No <input type="checkbox"/>  |
| If so, is this planning in place?   |   |   |   |                               | Yes <input type="checkbox"/> No <input type="checkbox"/>  |
| How much does the client intend to spend on the property? £   |   |   |   |                               |   |
| Do any of the properties comprise more than 1 self-contained unit?  |   |   | Yes <input type="checkbox"/> No <input type="checkbox"/>  | How many units?               |   |
| RR products only  | Estimated after works value £   | Estimated after works rental £                  |   | PA                            |   |
| Please tick to confirm you have discussed all available repayment and interest rate options with the client   |   |   |   |                               | <input type="checkbox"/>  |
| Please tick to confirm that this AIP is submitted on your understanding that the borrower has sufficient experience to meet our requirements                                    |   |   |   |                               | <input type="checkbox"/>  |
| How many mortgaged Buy To Let properties does the client have?  |   |   |   |                               |   |
| If the term exceeds 10 years, please tick to confirm that the outside portfolio meets our requirements (if unsure, please leave blank, or use the online calculator to confirm) |   |   |   |                               | <input type="checkbox"/>  |

## 5. Mortgage purpose

Purchase  Refinance/ remortgage  Refinance & capital raising   
 Capital raising  Transfer of equity

### Property Incorporations

Is the property being transferred to a limited company? Yes  No  (If Yes, please contact the Sales Desk to discuss the transaction and confirm application requirements)

### Remortgage/refinance

Estimated property value £  Date purchased

Amount of outstanding finance £  Original purchase price £

Is there any element of debt forgiveness from the existing lender? Yes  No

### Where more funds are being borrowed than are currently owed on the property, what will the proceeds be used for?

Debt consolidation  Property improvements  Purchase another property  Existing loan + fees

Other (please detail)

### Purchase

Purchase price £  Deposit source

## 6. If the main applicant is a limited company or LLP

Limited company or LLP name

Ltd Co or LLP registered trading address

Ltd Co or LLP correspondence address (if applicable)

Company number  UK incorporated? Yes  No

## 6a. Applicant information

| Number of applicants  | Borrower/guarantor 1  | Borrower /guarantor 2   |
|---|---|---|
| Title   |   |   |
| Forename(s) (including any middle names)  |   |   |
| Surname   |   |   |
| Date of birth   | <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> | <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> |
| Residential address   |   |   |
| Correspondence address (if different from above)  |   |   |
| Date moved in   | <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> | <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> |
| Previous address if this is less than 3 years<br>(Full 3 year address history required) |   |   |
| Date moved in   | <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> | <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> |
| Nationality   |   |   |
| Preferred contact number  |   |   |
| Personal income   | £   | £   |
| Personal net worth  | £   | £   |
| The applicant's personal income is sufficient to support their personal expenditure     | Yes <input type="checkbox"/> No <input type="checkbox"/>  | Yes <input type="checkbox"/> No <input type="checkbox"/>  |
| Have you ever been convicted of a criminal offence?                                     | Yes <input type="checkbox"/> No <input type="checkbox"/>  | Yes <input type="checkbox"/> No <input type="checkbox"/>  |

**If you answered YES to the above, please provide a full explanation below including what it was in relation to, when it occurred, and whether the situation has now been fully resolved.**

Details

|   |  |
|---|--|
| Has an internet search of the applicants or any associated companies revealed any adverse information?  | Yes <input type="checkbox"/> No <input type="checkbox"/> |
| Do any of the applicants have any personal or business adverse credit?                                  | Yes <input type="checkbox"/> No <input type="checkbox"/> |
| Does the client or a related person dwell or intend to dwell at the property being offered as security? | Yes <input type="checkbox"/> No <input type="checkbox"/> |
| If so, does this part exceed 40% of the total security area?  | Yes <input type="checkbox"/> No <input type="checkbox"/> |

## 7. Security Information

How many properties are being offered as security?

**For portfolios deals, please complete the Property Portfolio sheet.**

Property address

Property description      House       Bungalow       Flat       Maisonette       HMO       Student let

If the property is/  
has a flat      Units in block?      Storeys in block      Larger than 30m<sup>2</sup>?      Yes       No

Is the property above a commercial property?      Yes       No

Is the property ex-local authority owned?      Yes       No

Is the property in a finished condition, ready to let?      Yes       No

If no, please confirm works

Has the property been refurbished/converted within the last 12 months?      Yes       No

If yes, cost of works completed £

Number of tenants

Current passing rental income at security from residential letting income £

Please confirm that you have checked the DSCR fits on the online calculator      Yes

**Single residential property applications may be suitable for an AVM (Auto Valuation Model).**

**Please complete the questions below.**

Where the property is a flat      Purpose Built       Converted

### For all property types

Date of construction

Tenure      Freehold       Leasehold       Feudal       Unexpired term of lease      year(s)

Number of bedrooms      Number of reception rooms

Is there anything non-standard about the property, title or ownership?      Yes       No

If yes, please confirm full details

## 8. Additional security information

For portfolios deals, please complete the Property Portfolio sheet.

Property address

Purchase price/estimated value £

Original finance on property £

Property description      House       Bungalow       Flat       Maisonette       HMO       Student let

Type of security      First charge       Second charge       Where HMO, confirm number of beds

**Single residential property applications may be suitable for an AVM (Auto Valuation Model).**

Please complete the questions below.

Where the property is a flat      Purpose Built       Converted

Is the Additional security above or directly adjacent to commercial premises      Yes       No

### For all property types

Date of construction

Tenure      Freehold       Leasehold       Feudal       Unexpired term of lease      year(s)

Number of bedrooms      Number of reception rooms

Is there anything non-standard about the property, title or ownership?      Yes       No

If yes, please confirm full details

## 9. Summary of proposal

Please outline the purpose of the loan including full details of the applicant's objectives, along with the merits of the proposal. Mitigating points relating to any negative aspects should also be explained in this section in order for an accurate initial assessment to take place.

## 10. Data protection checklist

**Must be fully completed before we can complete any searches. Please complete all items on this checklist.**

Please confirm who read Shawbrook's privacy script to the applicant(s): Shawbrook broker  Other introducer

If the script was read by 'Other introducer', the Shawbrook broker partner must countersign below and by doing so will be confirming that: (a) the 'Other introducer' is known to them; and (b) the 'Other introducer' has read Shawbrook's most recent privacy script (as found on the Shawbrook Broker Hub).

I have read the most recent Shawbrook privacy script (as found on the Shawbrook Broker Hub), and which contains details about Shawbrook's privacy notice, credit reference agency searches and the C-R-A-I-N to the applicant(s), and they have confirmed that they wish to proceed with the application.

|  |  |
|--|--|
| Date and time script read to the applicant(s)  | If by telephone, was this recorded? Yes <input type="checkbox"/> No <input type="checkbox"/>   |
| <b>Broker partner signature</b>  | <b>Other introducer signature</b>  |
| Print Shawbrook broker name  | Print other introducer name  |
| Date <input type="text" value="D"/> <input type="text" value="D"/> <input type="text" value="M"/> <input type="text" value="M"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/> | Date <input type="text" value="D"/> <input type="text" value="D"/> <input type="text" value="M"/> <input type="text" value="M"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/> |