

Let's see if we can lend...

Details requested are essential to provide us with a thorough overview of the proposal and **ALL** questions must be answered to prevent a delay in processing.

1. Broker details & FCA permissions

All introducers involved in the transaction must be listed

Shawbrook broker partner name	Broker fee amount (if applicable) (£ or %)
Name of firm	Contact name
Telephone	Email
FCA permissions Please confirm you provided the advice Yes <input type="checkbox"/> No <input type="checkbox"/>	FCA number

Other introducer details

Name of firm	Contact name
Address	
Telephone	Email
FCA permissions Please confirm you provided the advice Yes <input type="checkbox"/> No <input type="checkbox"/>	FCA number

I confirm that the intermediary providing the financial advice on this transaction holds the correct permissions and is authorised to do so

Yes No

2. Applicant source

Please confirm where the lead was generated from

Introducer from own panel <input type="checkbox"/>	Direct applicant recommended by existing customer <input type="checkbox"/>
Direct applicant from own marketing campaign <input type="checkbox"/>	Shawbrook referred introducer <input type="checkbox"/>
Introducer from a network/affinity group <input type="checkbox"/>	Direct applicant referred by Shawbrook <input type="checkbox"/>
Shawbrook event/show <input type="checkbox"/>	

3. Existing Shawbrook customers

Please confirm if the customer has another product with Shawbrook

We are pleased to offer a 0.25% reduction on the arrangement fee, for any clients who have been party to a Shawbrook savings account, open for 6 months or more with a £100+ balance, or a formally sanctioned Shawbrook loan

Account number(s)

Asset finance Business credit Commercial mortgages

Secured loan Savings account Consumer loan

Has the Customer completed a Shawbrook Mortgage within the last 6 months? Yes No

Does the customer's cumulative lending exposure to Shawbrook, including any accounts held in different entities exceed £15,000,000? Yes No

How many mortgaged Buy To Let properties does the client have?

4. Loan details

Application type Individual/sole trader Partnership UK Limited Company LLP Trust

Loan amount £ Term (Years)

LTV requested % Estimated completion date

Fixed rate? Yes No If yes, number of years fixed 3 4 5 7 10

Product CI1 CI2 (minimum of 50% of the property value relates to residential) CC1 (Complex commercial investment)

Repayment Interest only Part capital repayment Full capital repayment

Please tick to confirm you have discussed all available repayment and interest rate options with the client Yes No

Please tick to confirm that this AIP is submitted on your understanding that the borrower has sufficient experience managing similar assets and meets with our requirements Yes No

If the term exceeds 10 years, please tick to confirm that the outside portfolio meets our requirements (if unsure, please leave blank, or use the online calculator to confirm) Yes No

Does the customer or a connected business trade from the security property? Yes No Nature of trade

Does the connected business generate more than 30% of the total rental income? Yes No

5. Mortgage purpose

Purchase Refinance/remortgage Refinance & capital raising
 Capital raising Transfer of equity

Property Incorporations

Is the property being transferred to a limited company? Yes No (If Yes, please contact the Sales Desk to discuss the transaction and confirm application requirements)

Remortgage/refinance

Estimated property value £ Date purchased Amount of outstanding finance £

Is there any element of debt forgiveness from the existing lender? Yes No (If yes, please confirm full explanation in section 9)

Where more funds are being borrowed than are currently owed on the property, what will the proceeds be used for?

Debt consolidation Property improvements Purchase another property Existing loan + fees

Other (please detail)

Original purchase price £

Purchase

Purchase price £ Deposit source

6. Security Information

How many properties are being offered as security?

For portfolios deals, please complete the Property Portfolio sheet.

Property address

Security type Commercial Semi-Commercial (minimum of 50% of the property value relates to residential)

Tenure Freehold Leasehold Feudal No. of years left on the lease years

Property description Pub Industrial Offices Leisure Retail
 Retail with residential accommodation Serviced offices

Residential element n/a Flat Maisonette House HMO If HMO, how many rooms?

If the property is/has a flat

Units in block? Storeys in block Larger than 30m²? Yes No

Is the property in a finished condition, ready to let? Yes No

If no, please confirm works

Has the property been refurbished/converted within the last 12 months? Yes No

If yes, cost of works completed £

Is it the clients intention to carry out any works on any of the properties? Yes No

Is there anything non-standard about the property, title or ownership

Yes No

If yes, please confirm full details

Do any of the properties comprise of more than one self-contained unit? Yes No How many units?

Please confirm the property will have a Fully Repairing and Insuring lease in place on or before completion

Yes No

If no, is the property vacant? Yes No If no, is the property let on licence? Yes No

Number of Tenants

Annual Rental Income £

Please confirm that you have checked the DSCR fits on the online calculator

Yes

7. Additional security information – only for second charge – for portfolio applications please complete a Property Portfolio sheet

Property address

Purchase price/estimated value £

Outstanding finance on property £

Property description House Flat Maisonette HMO Student let
 Pub Retail Industrial Offices Retail with residential

Where HMO, confirm number of beds

Type of security

First charge

Second charge

Tenure

Freehold

Leasehold

Feudal

No. of years left on the lease

years

8. If the main applicant is a limited company or LLP

Limited company or LLP name

Ltd Co or LLP trading address

Company number

UK incorporated?

Yes No

8a. Applicant information

Information	Borrower/guarantor 1	Borrower /guarantor 2
Title		
Surname		
Forename(s) (including any middle names)		
Date of birth	<input type="text"/> D <input type="text"/> D <input type="text"/> M <input type="text"/> M <input type="text"/> Y <input type="text"/> Y <input type="text"/> Y <input type="text"/> Y	<input type="text"/> D <input type="text"/> D <input type="text"/> M <input type="text"/> M <input type="text"/> Y <input type="text"/> Y <input type="text"/> Y <input type="text"/> Y
Permanent residential address/ correspondence address for company		
Date moved in	<input type="text"/> D <input type="text"/> D <input type="text"/> M <input type="text"/> M <input type="text"/> Y <input type="text"/> Y <input type="text"/> Y <input type="text"/> Y	<input type="text"/> D <input type="text"/> D <input type="text"/> M <input type="text"/> M <input type="text"/> Y <input type="text"/> Y <input type="text"/> Y <input type="text"/> Y
Previous address if this is less than 3 years (Full 3 year address history required)		
Date moved in	<input type="text"/> D <input type="text"/> D <input type="text"/> M <input type="text"/> M <input type="text"/> Y <input type="text"/> Y <input type="text"/> Y <input type="text"/> Y	<input type="text"/> D <input type="text"/> D <input type="text"/> M <input type="text"/> M <input type="text"/> Y <input type="text"/> Y <input type="text"/> Y <input type="text"/> Y
Nationality		
Preferred contact number		
Personal annual income (outside of rental stated)	£	£
The applicant's personal income is sufficient to support their personal expenditure	Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>
Have you ever been convicted of a criminal offence?	Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>

If you answered YES to the above, please provide a full explanation below including what it was in relation to, when it occurred, and whether the situation has now been fully resolved.

Details

Has an internet search of the applicants or any associated companies revealed any adverse information? Yes No

Do any of the applicants have any personal or business adverse credit? Yes No

Does the client or a related person dwell or intend to dwell at the property being offered as security? Yes No

If so, does this part exceed 40% of the total security area? Yes No

9. Summary of proposal

Please outline the purpose of the loan including full details of the applicant's objectives, along with the merits of the proposal. Mitigating points relating to any negative aspects should also be explained in this section in order for an accurate initial assessment to take place.

10. Data protection checklist

Must be fully completed before we can complete any searches. Please complete all items on this checklist.

Please confirm who read Shawbrook's privacy script to the applicant(s): Shawbrook broker Other introducer

If the script was read by 'Other introducer', the Shawbrook broker partner must countersign below and by doing so will be confirming that: (a) the 'Other introducer' is known to them; and (b) the 'Other introducer' has read Shawbrook's most recent privacy script (as found on the Shawbrook Broker Hub).

I have read the most recent Shawbrook privacy script (as found on the Shawbrook Broker Hub), and which contains details about Shawbrook's privacy notice, credit reference agency searches and the C-R-A-I-N to the applicant(s), and they have confirmed that they wish to proceed with the application.

Date and time script read to the applicant(s)	If by telephone, was this recorded? Yes <input type="checkbox"/> No <input type="checkbox"/>
Broker partner signature	Other introducer signature
Print Shawbrook broker name	Print other introducer name
Date <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	Date <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>