

## Let's see if we can lend...

Details requested are essential to provide us with a thorough overview of the proposal and **ALL** questions must be answered to prevent a delay in processing.

### 1. Broker details & FCA permissions

All introducers involved in the transaction must be listed

Shawbrook broker partner name	Broker fee amount (if applicable) (£ or %)
Name of firm	Contact name
Telephone	Email
FCA permissions Please confirm you provided the advice    Yes <input type="checkbox"/> No <input type="checkbox"/>	FCA number

#### Other introducer details

Name of firm	Contact name
Address	
Telephone	Email
FCA permissions Please confirm you provided the advice    Yes <input type="checkbox"/> No <input type="checkbox"/>	FCA number

**I confirm that the intermediary providing the financial advice on this transaction holds the correct permissions and is authorised to do so**

Yes  No

### 2. Applicant source

Please confirm where the lead was generated from

Introducer from own panel <input type="checkbox"/>	Direct applicant recommended by existing customer <input type="checkbox"/>
Direct applicant from own marketing campaign <input type="checkbox"/>	Shawbrook referred introducer <input type="checkbox"/>
Introducer from a network/affinity group <input type="checkbox"/>	Direct applicant referred by Shawbrook <input type="checkbox"/>
Shawbrook event/show <input type="checkbox"/>	

### 3. Existing Shawbrook customers

Please confirm if the customer has another product with Shawbrook

We are pleased to offer a 0.25% discount on the margin OR a 0.25% reduction on the arrangement fee on loans up to £750,000, for any clients who have been party to a Shawbrook savings account, open for 6 months or more with a £100+ balance, or a formally sanctioned Shawbrook loan

Asset finance <input type="checkbox"/>	Business credit <input type="checkbox"/>	Commercial mortgages <input type="checkbox"/>	Account number(s)	
Secured loan <input type="checkbox"/>	Savings account <input type="checkbox"/>	Consumer loan <input type="checkbox"/>	Loans up to £750,000: Discount is to apply to:	
			Margin <input type="checkbox"/>	Arrangement fee <input type="checkbox"/>
Has the Customer completed a Shawbrook Mortgage within the last 6 months?			Yes <input type="checkbox"/>	No <input type="checkbox"/>
Does the customer's cumulative lending exposure to Shawbrook, including any accounts held in different entities exceed £15,000,000?			Yes <input type="checkbox"/>	No <input type="checkbox"/>
How many mortgaged Buy To Let properties does the client have?				
If the term exceeds 10 years, please tick to confirm that the outside portfolio meets our requirements (if unsure, please leave blank, or use the online calculator to confirm)				<input type="checkbox"/>

### 4. Loan details

Application type	Individual/sole trader <input type="checkbox"/>	Partnership <input type="checkbox"/>	UK Limited Company <input type="checkbox"/>	LLP <input type="checkbox"/>	Trust <input type="checkbox"/>
Loan amount £	Term (Years)				
LTV requested	%	Estimated completion date			
Fixed rate?	Yes <input type="checkbox"/>	No <input type="checkbox"/>	If yes, number of years fixed		
			3 <input type="checkbox"/>	4 <input type="checkbox"/>	5 <input type="checkbox"/>
			7 <input type="checkbox"/>	10 <input type="checkbox"/>	
Product	CI1 <input type="checkbox"/>	CI2 <input type="checkbox"/> (minimum of 50% of the property value relates to residential)			
Repayment <input type="checkbox"/>	Interest only <input type="checkbox"/>	Part capital repayment <input type="checkbox"/>	Full capital repayment <input type="checkbox"/>		
Please tick to confirm you have discussed all available repayment and interest rate options with the client			Yes <input type="checkbox"/>	No <input type="checkbox"/>	
Please tick to confirm that this AIP is submitted on your understanding that the borrower has sufficient experience managing similar assets and meets with our requirements			Yes <input type="checkbox"/>	No <input type="checkbox"/>	
If the term exceeds 10 years, please tick to confirm that the outside portfolio meets our requirements (if unsure, please leave blank, or use the online calculator to confirm)			Yes <input type="checkbox"/>	No <input type="checkbox"/>	
Does the customer trade from the security property?	Yes <input type="checkbox"/>	No <input type="checkbox"/>	Nature of trade		
Does this take up more than 50% of the property?			Yes <input type="checkbox"/>	No <input type="checkbox"/>	

## 5. Mortgage purpose

Purchase  Refinance/remortgage  Refinance & capital raising   
 Capital raising  Transfer of equity

### Property Incorporations

Is the property being transferred to a limited company? Yes  No  (If Yes, please contact the Sales Desk to discuss the transaction and confirm application requirements)

### Remortgage/refinance

Estimated property value £      Date purchased      Amount of outstanding finance £

Is there any element of debt forgiveness from the existing lender? Yes  No  (If yes, please confirm full explanation in section 9)

### Where more funds are being borrowed than are currently owed on the property, what will the proceeds be used for?

Debt consolidation  Property improvements  Purchase another property  Existing loan + fees

Other (please detail)

Original purchase price £

### Purchase

Purchase price £      Deposit source

## 6. Security Information

How many properties are being offered as security?

**For portfolios deals, please complete the Property Portfolio sheet.**

Property address

Security type    Commercial     Semi-Commercial  (minimum of 50% of the property value relates to residential)

Tenure      Freehold     Leasehold     Feudal       No. of years left on the lease      years

Property description    Pub     Industrial     Offices     Leisure     Retail     Retail with residential accommodation

Residential element    n/a     Flat     Maisonette     House     HMO     If HMO, how many rooms?

### If the property is/has a flat

Units in block?      Storeys in block      Larger than 30m<sup>2</sup>?      Yes  No

Is the property in a finished condition, ready to let?      Yes  No

If no, please confirm works

Has the property been refurbished/converted within the last 12 months?      Yes  No

If yes, cost of works completed £

Is it the clients intention to carry out any works on any of the properties?      Yes  No

Is there anything non-standard about the property, title or ownership

Yes  No

If yes, please confirm full details

Do any of the properties comprise of more than one self-contained unit? Yes  No  How many units?

Please confirm the property will have a Fully Repairing and Insuring lease in place on or before completion

Yes  No

Number of Tenants

Annual Rental Income £

Please confirm that you have checked the DSCR fits on the online calculator

Yes

## 7. Additional security information – only for second charge – for portfolio applications please complete a Property Portfolio sheet

Property address

Purchase price/estimated value £				Outstanding finance on property £			
Property description	House <input type="checkbox"/>	Flat <input type="checkbox"/>	Maisonette <input type="checkbox"/>	HMO <input type="checkbox"/>	Student let <input type="checkbox"/>		
	Pub <input type="checkbox"/>	Retail <input type="checkbox"/>	Industrial <input type="checkbox"/>	Offices <input type="checkbox"/>	Retail with residential <input type="checkbox"/>		
Where HMO, confirm number of beds	Type of security		First charge <input type="checkbox"/>	Second charge <input type="checkbox"/>			
Tenure	Freehold <input type="checkbox"/>	Leasehold <input type="checkbox"/>	Feudal <input type="checkbox"/>	No. of years left on the lease		years	

## 8. Applicant information

Information	Borrower/guarantor 1	Borrower /guarantor 2
Title		
Surname		
Forename(s) (including any middle names)		
Date of birth	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Permanent residential address/ correspondence address for company		

Date moved in	<input type="text" value="D"/> <input type="text" value="D"/> <input type="text" value="M"/> <input type="text" value="M"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/>	<input type="text" value="D"/> <input type="text" value="D"/> <input type="text" value="M"/> <input type="text" value="M"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/>
Previous address if this is less than 3 years (Full 3 year address history required)		
Date moved in	<input type="text" value="D"/> <input type="text" value="D"/> <input type="text" value="M"/> <input type="text" value="M"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/>	<input type="text" value="D"/> <input type="text" value="D"/> <input type="text" value="M"/> <input type="text" value="M"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/>
Nationality		
Preferred contact number		
Personal annual income (outside of rental stated)	£	£
Personal net worth	£	£
The applicant's personal income is sufficient to support their personal expenditure	Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>
Have you ever been convicted of a criminal offence?	Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>

**If you answered YES to the above, please provide a full explanation below including what it was in relation to, when it occurred, and whether the situation has now been fully resolved.**

Details

Has an internet search of the applicants or any associated companies revealed any adverse information?	Yes <input type="checkbox"/> No <input type="checkbox"/>
Do any of the applicants have any personal or business adverse credit?	Yes <input type="checkbox"/> No <input type="checkbox"/>
Does the client or a related person dwell or intend to dwell at the property being offered as security?	Yes <input type="checkbox"/> No <input type="checkbox"/>
If so, does this part exceed 40% of the total security area?	Yes <input type="checkbox"/> No <input type="checkbox"/>

## 8a. If the main applicant is a limited company

Limited company name

Ltd Co trading address

Company number	UK incorporated?	Yes <input type="checkbox"/> No <input type="checkbox"/>
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## 9. Summary of proposal

Please outline the purpose of the loan including full details of the applicant's objectives, along with the merits of the proposal. Mitigating points relating to any negative aspects should also be explained in this section in order for an accurate initial assessment to take place.

## 10. Data protection checklist

**Must be fully completed before we can complete any searches. Please complete all items on this checklist.**

Please confirm who read Shawbrook's privacy script to the applicant(s): Shawbrook broker  Other introducer

If the script was read by 'Other introducer', the Shawbrook broker partner must countersign below and by doing so will be confirming that: (a) the 'Other introducer' is known to them; and (b) the 'Other introducer' has read Shawbrook's most recent privacy script (as found on the Shawbrook Broker Hub).

I have read the most recent Shawbrook privacy script (as found on the Shawbrook Broker Hub), and which contains details about Shawbrook's privacy notice, credit reference agency searches and the C-R-A-I-N to the applicant(s), and they have confirmed that they wish to proceed with the application.

Date and time script read to the applicant(s)	If by telephone, was this recorded?	Yes <input type="checkbox"/>	No <input type="checkbox"/>
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**Broker partner signature**

**Other introducer signature**

Print Shawbrook broker name

Print other introducer name

Date

Date