

Individual Broker Registration

As part of the registration process please complete the below information and return to brokerregistrations@interbayuk.com

Completion of this form will allow InterBay Commercial to satisfy required due diligence checks.

Please answer the below questions

Do you have the relevant permissions to give advice on regulated mortgages?

What is your company's website address?

Are you aware of any pending FCA disciplinary action being taken against you or your company?

Have you or your company had a complaint from the FOS or any other regulatory body upheld against you within the last 12 months?

Do you have a complaints policy?

Do you have a process in place to maintain your professional competence?

Have you ever been declared bankrupt? Is yes please provide details

Have you or your company ever been declined appointment to or removed from a Lender panel? (excluding a lender data cleanse due to business volumes).



Individual Name : _____

Company Name : _____

Registered address : _____

(Including postcode) _____

Trading address : _____

(Including postcode) _____

(if difference from above) _____

Preferred Mortgage Club : _____

Club Reference Number _____

FCA Number : _____

ICO Number (if known) : _____

We'll always treat your account in strictest confidence under the Data Protection Act 1998; you are entitled to know what information we hold about you and to ask us to correct any inaccurate details. We may charge for this service. If you have any questions about the Act or your rights under it, please write to:

Compliance Manager
Forum 3
Solent Business Park
Whiteley
Fareham
PO15 7FH

